

(Read with Regulation 10)

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2017

Name of Fund : LIFE FUND

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								
	9.24% STERLITE BS 06-12-2022	ECOS	5.00	04/06/2015	CRISIL	AA+	AA	28/01/2016	
	9.40% IDBI BANK BS 25-03-2026	OLDB	3.01	31/12/2014	CRISIL	AA	A+	05/05/2017	

**CERTIFICATION**

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Signature : .....

Date : 09-10-2017

Full Name and Designation : MR.G.VAIDYANATHAN

Authorised Signatory

**Note:**

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM-2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
4. Category of Investmet (COI) shall be as per Guidelines issued by the Authority

FORM 2 PART A FOOTNOTE

(Read with Regulation 10)

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2017

Name of Fund : PENSION FUND

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								

**CERTIFICATION**

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Signature : .....

Date : 09-10-2017

Full Name and Designation : MR.G.VAIDYANATHAN

Authorised Signatory

**Note:**

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM-2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
4. Category of Investmet (COI) shall be as per Guidelines issued by the Authority

FORM 2 PART A FOOTNOTE

(Read with Regulation 10)

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2017

Name of Fund : UNIT LINKED

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u>								
B.	<u>As on Date</u>								
	9.05% BANK OF BARODA BS 27-08-2020	EUPD	5.00	31/12/2014	CRISIL	AAA	AA+	28/03/2016	
	9.40% STERLITE DB 25-10-2022	ECOS	5.01	31/12/2014	CRISIL	AA+	AA	28/01/2016	

**CERTIFICATION**

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Signature : .....

Date : 09-10-2017

Full Name and Designation : MR.G.VAIDYANATHAN

Authorised Signatory

**Note:**

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM-2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
4. Category of Investmet (COI) shall be as per Guidelines issued by the Authority

FORM 2 PART A FOOTNOTE